

A

LIMBACH & LIMBACH L.L.P.
2001 Ferry Building, San Francisco, CA 94111
415/433-4150

Address to:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Attorney's Docket No. SONY-P9488

[S99P0488US00]

First Named Inventor CHIAKI NONAKA

UTILITY PATENT APPLICATION TRANSMITTAL

(under 37 CFR 1.53(b))

SIR:

Transmitted herewith for filing is the patent application entitled:
RECORDING APPARATUS AND METHOD, AND REPRODUCING APPARATUS AND METHOD

CERTIFICATION UNDER 37 CFR § 1.10

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date April 23, 1999, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EL151574584US addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Howard Wong

(Name of person mailing paper)

(Signature)

Enclosed are:

1. ☒ Transmittal Form (two copies required)
2. The papers required for filing date under CFR § 1.53(b):
 - i. 46 Pages of specification (including claims and abstract);
 - ii. 9 Sheets of drawings.

☐ formal ☒ informal
3. Declaration or oath
 - a. ☒ Unsigned

ACCOMPANYING APPLICATION PARTS

4. ☐ An assignment of the invention to Sony Corporation is attached (including Form PTO-1595).
 - i. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
5. ☒ Power of Attorney (unsigned)
6. ☐ An Information Disclosure Statement (IDS) is enclosed, including a PTO-1449 and copies of ☐ references.
7. ☐ Preliminary Amendment.
8. ☒ Return Receipt Postcard (MPEP 503 -- should be specifically itemized)
9. ☐ Other
10. FOREIGN PRIORITY

[x] Priority of application nos. P10-124915 and P10-124920 both filed on May 7, 1998 in Japan are claimed under 35 USC 119.

The certified copies of the priority applications:

☒ are filed herewith; or

☐ has been filed in prior application no. ☐ filed on ☐, or

☐ will be provided.

☐ English Translation Document (if applicable)

04/23/99
jc525 U.S. PTO

jc594 U.S. PTO
09/298515
04/23/99

09298515 042399

11. FEE CALCULATION

- a. ☐ Amendment changing number of claims or deleting multiple dependencies is enclosed.

CLAIMS AS FILED

| | Number Filed | Number Extra | Rate | Basic Fee (\$760) |
|--|--------------|--------------|-----------|-------------------|
| Total Claims | 17 - 20 | * 0 | x \$18.00 | 0 |
| Independent Claims | 6 - 3 | * 3 | x \$78.00 | 234.00 |
| <input type="checkbox"/> Multiple dependent claim(s), if any | | | \$260.00 | 0 |

*If less than zero, enter "0".

Filing Fee Calculation \$994.00

50% Filing Fee Reduction (if applicable) \$

12. Small Entity Status

- a. ☐ A small entity statement is enclosed.
b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
c. ☐ is no longer claimed.

13. Other Fees

- ☐ Recording Assignment [\$40.00] \$0
☐ Other fees
Specify _____ \$0

Total Fees Enclosed \$994.00

14. Payment of Fees

- ☒ Check(s) in the amount of \$ 994.00 enclosed.
☐ Charge Account No. 12-1420 in the amount of \$ ____.
A duplicate of this transmittal is attached.

15. All correspondence regarding this application should be forwarded to the undersigned attorney:

Charles P. Sammut
Limbach & Limbach L.L.P.
2001 Ferry Building
San Francisco, CA 94111
Telephone: 415/433-4150
Facsimile: 415/433-8716

16. Authorization to Charge Additional Fees

- ☒ The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. 12-1420. A duplicate of this transmittal is attached.

LIMBACH & LIMBACH L.L.P.

April 23, 1999
(Date)

Attorney Docket No. SONY-P9488
[S99P0488US00]

By: _____

Mark A. Dalla Valle
Registration No. 34,147
Attorney(s) or Agent(s) of Record